Workshop on Ethics in Finance and Investment: Opportunities, Positive Impacts and Sustainable Innovation
Goethe University Frankfurt
Friday, 2 October 2015

Organizers:
Prof. Dr. Rainer Klump and Dr. Manuel Wörsdörfer, Cluster of Excellence ‘The Formation of Normative Orders’, Goethe University Frankfurt.
In cooperation with Karen Wendt (Editor Responsible Investmentbanking)

Venue: Goethe University, Campus Westend, Building of the Cluster of Excellence ‘The Formation of Normative Orders’, Max-Horkheimer-Str. 2, 60323 Frankfurt, Room: 5.01.

Accommodation: Turm Hotel, Eschersheimer Landstr. 20, 60322 Frankfurt
(www.turnhotel-fra.de/)

Structure: Three presentation modules plus one panel discussion; two or three presentations per panel (ca. 20 min.) + discussion (ca. 30 min); practitioner panel discussion and wrap-up workshop in the afternoon.
**Friday, 2 October 2015**

**Until 9:00 a.m.**  
Arrival of Guests, Registration and Coffee

**9:00 – 9:15 a.m.**  
**Welcome Address and Introductory Remarks**  
Prof. Dr. Rainer Klump (President of the University of Luxembourg and Associate Member of the Cluster of Excellence)

**9:15 – 9:45 a.m.**  
**Keynote: Positive Impact Investing, SDGs and the Changing Finance Industry**  
Karen Wendt (Responsible Investment Banking)

**Part I: (Political) CSR and Corporate Citizenship in the Finance Industry (Chair: Dr. Manuel Wörsdörfer)**

**9:45 – 10:45 a.m.**  
**Two Kinds of Professional Ethos**  
Dr. Lisa Herzog (Goethe University Frankfurt)

**The Treasures of Stakeholder Engagement**  
Joop Remmé (Maastricht School of Management)

**The Equator Principles and the Business and Human Rights Debate – Hype or Hope?**  
Dr. Manuel Wörsdörfer (Goethe University Frankfurt)

**10:45 – 11:15 a.m.**  
Questions from the floor

**11:15 – 11:30 a.m.**  
Coffee Break

**Part II: Going Beyond Due Diligence and Negative Impacts (Chair: Karen Wendt)**

**11:30 – 12:30 p.m.**  
**Markowitz Revisited: Social Portfolio Engineering**  
Prof. Dr. Margarethe Rammerstorfer (MODUL University Vienna)

**The Transition Economy, Community Supported Enterprises and Local Economic Resilience**  
Jay Tompt (Local Economic Resilience Transition Network)

**Social Banking and Social Finance**  
Dr. Sven Remer (Alanus University Alfter)

**12:30 – 1:00 p.m.**  
Questions from the floor

**1:00 – 2:15 p.m.**  
**Lunch (Restaurant: Sturm und Drang)**

**Part III: The Social Reform of Corporate Culture (Chair: Dr. Manuel Wörsdörfer)**

**2:15 – 3:15 p.m.**  
**Fixing Finance 2.0: Cultural Reform through Regulation?**  
Prof. Dr. Cynthia Williams (York University)

**Banking and Morality: The Problem of Disembeddedness**  
Claudia Czingon (Goethe University Frankfurt)

**Ethics in Education: The long Term Business Effects of an Ethics Program at a College in Ghana**  
Dr. Mary Godwyn (Babson College)

**3:15 – 3:45 p.m.**  
Questions from the Floor

**3:45 – 4:00 p.m.**  
Coffee Break

**Part IV: Social & Green Profits– Is Impact Investing a Game Changer?**

**4:00 – 5:00 p.m.**  
**Panel Discussion (Chair: Karen Wendt)**  
Frank Damerow (Climate Bonds Initiatives)

Jay Tompt (Local Economic Resilience Transition Network)

Florian Sommer (Union Investment)

Markus Hill (Independent Asset Management Consultant)

**5:00 – 5:30 p.m.**  
Wrap Up and Conclusions